



Business Continuity Plan

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IRC Securities has developed a Business Continuity Plan (BCP) consistent with regulatory requirements. We provide this Business Continuity Statement (BCS), which summarizes the BCP, to our clients.

Our BCP will be activated in the event of a Significant Business Disruption (SBD). The BCP attempts to continue operations if an event were to occur that would affect the firm's business location or systems. We have analyzed a number of significant business disruptions and the actions we would take if there were a building, city-wide or regional event. The BCP states that after performing an initial assessment of the event, the CEO or CCO would execute our BCP.

Our BCP addresses: data back-up and recovery; financial and operational assessments; alternative communications with clients, employees and regulators and required regulatory reporting.

Under most scenarios, we expect to continue to conduct our business and resume operations with minimal impact. However, under certain scenarios, the time it takes us to recover and resume operations may be significantly increased depending on the extent of the disruption to our systems and physical structures.

IRC Securities does not hold customers' securities or funds.

For more information about our Business Continuity Plan please contact us at info@ircsecurities.com.