



Anti-Money Laundering Disclosure

USA Patriot Act-Customer Identification Program Notice

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Information required to be collected before we can open an account

IRC Securities has implemented a Customer Identification Program which is consistent with the USA Patriot Act and supports the government's efforts to combat terrorism and money laundering activities. Federal law requires financial institutions to obtain, verify and record information that identifies each institution prior to that institution becoming a client of our firm.

Information required

Prior to accepting a firm as a client we are required to collect information that includes at least the following:

- Legal Entity Name Domicile
- Government Issued Identification number
- Corporate Documentation that describes the purpose of the Legal Entity
- OFAC Clearance
- Non –U. S. Citizen: Additional due diligence as required.

U. S. Treasury, Security and Exchange Commission and FINRA rules and regulations require the collection and verification of the above information prior to opening an account.

If the identity of a prospective client cannot be verified the firm may not be permitted to open an account.

For more information about our AML program please contact us at info@ircsecurities.com.
